

From, Santosh J. Saxena  
19 Westside Avenue  
Bergenfield N.J 07621  
owner of Stocks/shares in Pfizer Company

To, The Current Court clerk  
United States District Court  
The Southern District of New York  
500 Pearl Street, N. York 10007  
Under civil Action No. 1:10-cv-03864-AKH  
(Ref: Pfizer Inc. Securities litigation)

Dear Sir/Madam,

In reference to, letter for Civil  
Action No, 1:10-cv-03864-AKH. Vs.  
Pfizer - Securities Inc, received by me in last  
two weeks, I have to declare that we did  
purchase Pfizer Inc Common Stocks, but  
much before yr 2000. So this letter and  
case does not apply in my case. Thanks

Sincerely

Santosh J. Saxena

Dated: 12-30-2014 JAN-82015

PRO SE OFFICE

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

\_\_\_\_\_  
MARY K. JONES, Individually and on Behalf of All  
Others Similarly Situated,  
  
Plaintiff  
  
vs.  
  
PFIZER INC., et al.,  
  
Defendants.  
\_\_\_\_\_

✓  
Civil Action No. 1:10-cv-03864-AKH  
CLASS ACTION

**NOTICE OF PENDENCY OF CLASS ACTION**

TO: ALL PERSONS WHO PURCHASED PFIZER INC. COMMON STOCK DURING THE PERIOD JANUARY 19, 2006 THROUGH JANUARY 23, 2009, INCLUSIVE

This Notice is given pursuant to Rule 23 of the Federal Rules of Civil Procedure and an order of the United States District Court for the Southern District of New York. This Notice is being sent to you because you may be a member of the Class described below. The purpose of this Notice is to inform you of the pendency of this lawsuit, how it might affect your rights and what steps you may take in relation to it. To date, no findings of fault or liability have been made as to any of the parties. Depending on the eventual outcome of this action, Class members may or may not recover money damages on the claims asserted. This Notice is not an admission by defendants or an expression of any opinion by the Court as to the merits of any of the claims asserted by plaintiffs in this litigation. Defendants have denied plaintiffs' claims and maintain that they are not liable for the injury by plaintiffs. If you do not meet the Class definition, this Notice does not apply to you and you may disregard it.

Beginning on May 11, 2010, a number of class action lawsuits were filed against Pfizer Inc. ("Pfizer") and certain Pfizer officers (collectively, the "defendants") by current or former Pfizer stockholders alleging violations of the federal securities laws. The cases were consolidated before the Honorable Alvin K. Hellerstein in the United States District Court for the Southern District of New York, and the case is presently styled *Mary K. Jones, Individually and on Behalf of All Others Similarly Situated v. Pfizer Inc., et al.*, Civil Action No. 1:10-cv-03864-AKH (the "Litigation"). By an order dated November 4, 2010, the Court appointed Stichting Philips Pensioenfonds as "lead plaintiff" pursuant to the requirements of the Private Securities Litigation Reform Act of 1995.

On March 29, 2012, the Court issued an order appointing Stichting Philips Pensioenfonds and Mary K. Jones as class representatives and certifying a "Class" that consists of:

All persons who purchased domestically or purchased on domestic exchanges Pfizer common stock between 1/19/06 and 1/23/09, inclusive, and were damaged thereby, excluding defendants and their families, directors and officers of Pfizer, and their families and affiliates.

All nominees who purchased or acquired Pfizer common stock during the period beginning on January 19, 2006 through and including January 23, 2009, for the beneficial ownership of another are requested to send this Notice to all such beneficial owners no later than ten days after receipt of this Notice. Additional copies of this Notice will be provided to such nominees upon written request sent to:

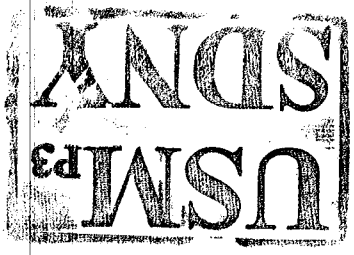
*Pfizer Inc. Securities Litigation*  
c/o Gilardi & Co. LLC  
Post Office Box 8040  
San Rafael, CA 94912-8040

In the alternative, all nominees are requested to send a list of the names and addresses of such beneficial owners to Gilardi & Co. LLC at the above address no later than ten days after receipt of this Notice. Gilardi & Co. LLC will thereafter mail copies of this Notice directly to all such beneficial owners. Counsel offers to prepay the reasonable costs of preparing a list of the names and addresses of such beneficial owners or of forwarding this Notice to beneficial owners in those cases where a nominee elects to forward notice, rather than provide a list of names and addresses to Gilardi & Co. LLC.

This Notice is sent to you in the belief that you may be a Class member in this Litigation; however, mere receipt of the Notice should not be construed to indicate that a determination has been made that you are a member of the Class. To remain a Class member, you are not required to do anything. If you remain a Class member you will be bound by any judgment in this Litigation, whether it is favorable or unfavorable. If you choose to remain a Class member, you may not pursue a lawsuit on your own with regard to any of the claims assessed or issues decided in this Litigation. If you wish, you may enter an appearance through your own counsel at your own expense.

If you want to be excluded from the Class, you must state your request in writing (including your full name and address) and sign your name. If you are signing on behalf of a Class member (such as an estate, corporation or partnership), please indicate your full name and the basis of your authority. Your request for exclusion must be mailed to *Pfizer Inc. Securities Litigation, c/o Gilardi & Co. LLC, Post Office Box 8040, San Rafael, California 94912-8040*. To be effective, your

Note: Covering letter is attached  
Sakreva  
12-30-14



To -> The Court Clerk of  
United States District  
Court for Southern District  
of New York, 500 Pearl Street  
New York, N.Y. 10007  
500 Pearl St, N.Y. city.

Pro-se JRB 9/8/15

**Regan Karstrand**

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**From:** NYSJ\_ECF\_Pool@nysd.uscourts.gov  
**Sent:** Monday, January 12, 2015 12:24 PM  
**To:** CourtMail@nysd.uscourts.gov  
**Subject:** Activity in Case 1:10-cv-03864-AKH Jones et al v. Pfizer, Inc. et al Letter

**This is an automatic e-mail message generated by the CM/ECF system. Please DO NOT RESPOND to this e-mail because the mail box is unattended.**

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**U.S. District Court**

**Southern District of New York**

**Notice of Electronic Filing**

The following transaction was entered on 1/12/2015 at 12:23 PM EST and filed on 1/8/2015

**Case Name:** Jones et al v. Pfizer, Inc. et al

**Case Number:** [1:10-cv-03864-AKH](#)

**Filer:**

**Document Number:** [446](#)

**Docket Text:**

**LETTER from Santos Saxena dated 12/30/14 re: Mr. Saxena submits this letter with attached Notice of Pendency of Class Action(to all persons who purchased Pfizer, Inc. common stock during the period 1/19/06-1/23/09 inclusive; and he declares to the Court that they purchased Pfizer Inc. Common Stocks, but much before the year 2000, so this letter and case do not apply to his case. (sc)**

**1:10-cv-03864-AKH Notice has been electronically mailed to:**

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